| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE ATTORNEY"S DOCKET NUMBER  |   |  |  |  |  |  |  |  |  |  |  |
|---|---|--|--|--|--|--|--|--|--|--|--|
| PATENT AND TRADEMARK OFFICE TAN-116 (REV. 07-2005)  |   |  |  |  |  |  |  |  |  |  |  |
| (1.2  | TRANSMITTAL LETTER 1  | U.S. APPLICATION NO. (If known,  |  |  |  |  |  |  |  |  |  |
|   | DESIGNATED/ELECTE   | see-37 CFR 1.5)  |  |  |  |  |  |  |  |  |  |
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371  see-37 CFR 1.5) 10/ 551 974                       |   |  |  |  |  |  |  |  |  |  |  |
| INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIM   |   |  |  |  |  |  |  |  |  |  |  |
|   | 2004/005965   | May 6, 2004  | May 6, 2003                              |  |  |  |  |  |  |  |  |
| TITLE OF INVENTION Three-Element Metal Colloid Having Three-Layer Core/Shell Structure And Method For Preparing                 |   |  |  |  |  |  |  |  |  |  |  |
| Such Three-Element Metal Colloid  Such Three-Element Metal Colloid  |   |  |  |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US   |   |  |  |  |  |  |  |  |  |  |  |
| NAOKI TOSHIMA, ET AL  |   |  |  |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |  |  |  |  |  |  |  |  |  |  |
| information.  |   |  |  |  |  |  |  |  |  |  |  |
|   | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.  |  |  |  |  |  |  |  |  |  |  |
| 2. [<br>3. [  | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.  This is an express request to begin retional examination procedures (35 U.S.C. 371(ft)). The submission must |  |  |  |  |  |  |  |  |  |  |
| ] . [2  |   | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.              |  |  |  |  |  |  |  |  |  |
| 4.  | The US has been elected (Art A copy of the International A  |  |  |  |  |  |  |  |  |  |  |
| 5. 2  |   | pplication as filed (35 U.S.C. 371(c)(2)) red only if not communicated by the Inter  | national Bureau)                         |  |  |  |  |  |  |  |  |
| b   |   | by the International Bureau.   | national Bureau).                        |  |  |  |  |  |  |  |  |
| С   | .  is not required, as the app  | plication was filed in the United States R   | eceiving Office (RO/US).                 |  |  |  |  |  |  |  |  |
| 6. [2   | An English language translati   | on of the International Application as file  | ed (35 U.S.C. 371(c)(2)).                |  |  |  |  |  |  |  |  |
| a   | . is attached hereto.   |  |  |  |  |  |  |  |  |  |  |
| b   | . L has been previously subn  | has been previously submitted under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |  |  |  |  |
| 7.  |   | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))   |  |  |  |  |  |  |  |  |  |
| a<br>b  |   | are attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |  |  |  |  |  |
| C   |   | have been communicated by the International Bureau.  have not been made; however, the time limit for making such amendments has NOT expired.                                   |  |  |  |  |  |  |  |  |  |
| d   |   |  |  |  |  |  |  |  |  |  |  |
| 8. [  | An English language translati   | on of the amendments to the claims unde  | r PCT Article 19 (35 U.S.C. 371 (c)(3)). |  |  |  |  |  |  |  |  |
| 9.  | An oath or declaration of the   | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  An English language translation of the annexes of the International Preliminary Examination Report under PCT |  |  |  |  |  |  |  |  |  |
| 10.   | An English language translati<br>Article 36 (35 U.S.C. 371 (c)  | on of the annexes of the International Pre (5)).   | Eliminary Examination Report under PCT   |  |  |  |  |  |  |  |  |
| Itoms 11  | to 20 below concern documen   |  |  |  |  |  |  |  |  |  |  |
| items i   | to 20 delow concern documen   | u(s) or information included:  |  |  |  |  |  |  |  |  |  |
|   |   | atement under 37 CFR 1.97 and 1.98.  |  |  |  |  |  |  |  |  |  |
| 12.   | An assignment document for included.  | recording. A separate cover sheet in com   | ipliance with 37 CFR 3.28 and 3.31 is    |  |  |  |  |  |  |  |  |
| 13.   | A preliminary amendment.  |  |  |  |  |  |  |  |  |  |  |
| 14.   | An Application Data Sheet ur  | An Application Data Sheet under 37 CFR 1.76.   |  |  |  |  |  |  |  |  |  |
| 15. [<br>16. [  |   | A substitute specification.  A power of attorney and/or change of address letter.  |  |  |  |  |  |  |  |  |  |
| 17.   | A computer-readable form of   | the sequence listing in accordance with I  | PCT Rule 13ter.2 and 37 CFR1.821 –       |  |  |  |  |  |  |  |  |
| ,,, ,   | 1.825.  | ad transmissional Application of the COTTO   | 0.0.154(4)(4)                            |  |  |  |  |  |  |  |  |
| 18.     [<br>  19.     [  |   | ed international Application under 35 U.S.   |  |  |  |  |  |  |  |  |  |
| 20  | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |  |  |  |  |  |

| U.S. APPLICATION NO. (IE KNOWN LINTERNATIONAL APPLICATION NO. SEE 37 CFR 1.5) 10/5519 PATT/JP2004/005965   |         |                           |   |        |                    | ATTORNEY'S DOCKET NUMBER TAN-116 |              |  |  |
|--|---------|---------------------------|---|--------|--------------------|----------------------------------|--------------|--|--|
| The following fees are s   | ubmitt  | ed:                       |   |        |                    | CALCULATIONS                     | PTO USE ONLY |  |  |
| 21. 🛛 Basic national fe  |         | \$300.00                  |   |        |                    |                                  |              |  |  |
| 21. 🔀 Basic national fee   |         |                           | -   |        |                    |                                  |              |  |  |
| If the written opinion prepa   | red by  |                           |   |        |                    |                                  |              |  |  |
| prepared by IPEA US indic<br>All other situations  |         | \$200.00                  |   |        |                    |                                  |              |  |  |
| 23. Search fee   |         |                           |   |        |                    |                                  |              |  |  |
| If the written opinion prepa   |         |                           |   |        |                    |                                  |              |  |  |
| prepared by IPEA US indic<br>Search fee (37 CFR 1.44   |         |                           |   |        |                    |                                  |              |  |  |
| the USPTO as an Inte   |         | \$400.00                  |   |        |                    |                                  |              |  |  |
| International Search Rep   | ort pre |                           |   |        |                    |                                  |              |  |  |
| provided to the Office of  |         |                           |   |        |                    |                                  |              |  |  |
| All other situations TOTAL OF 21, 22 and   |         |                           |   |        | .3500              | \$900.00                         |              |  |  |
| Additional fee for sp  |         | ation and drawing         | s filed in paper over 10  | 0 she  | ets (excluding     | \$700.00                         |              |  |  |
| sequence listing in comp   |         |                           |   |        |                    |                                  |              |  |  |
| an electronic medium.  | he fee  | e is \$250 for each       | additional 50 sheets of   | pape   | r or fraction      |                                  |              |  |  |
| thereof.   |         |                           | 11:: 150 6 4  |        | DATE               |                                  |              |  |  |
| Total Sheets   Extra S   | heets   |                           | n additional 50 or fraction additional 50 or fraction (in the first a whole number) | on     | RATE               |                                  |              |  |  |
| - 100=   | )/50=   | 0                         | p to a whole hamber)  |        | x \$250.00         | \$0.00                           |              |  |  |
| Surcharge of \$130.00 fo   |         | shing any of the s        | earch fee, examination  | fee, c | or the oath or     | s                                |              |  |  |
| declaration after the con  |         |                           | nal stage.  | •      |                    | 3                                | <u> </u>     |  |  |
| CLAIMS   | NU      | JMBER FILED               | NUMBER EXTRA  |        | RATE               |                                  | ·            |  |  |
| Total claims   | _       | 19- 20 =                  |   |        | x \$50             | \$0.00                           | <u> </u>     |  |  |
| Independent claims   |         | 1-3 =                     | 0   | ┞      | x \$200<br>+ \$360 | \$0.00                           |              |  |  |
| MULTIPLE DEPENDE   | NT CI   | \$0.00                    |   |        |                    |                                  |              |  |  |
| T A - Para A - Initian   | 11      |                           | OTAL OF ABOVE C   |        |                    | \$900.00                         |              |  |  |
| Applicant claims s   | mall er | ntity status. See 3       | 7 CFR 1.27. Fees above  |        | SUBTOTAL =         | \$900.00                         |              |  |  |
| Processing fee of \$130.0  | 0 for   | furnishing the Eng        | alish translation later th  | _      |                    |                                  |              |  |  |
| the earliest claimed prio  |         | \$0.00                    | <u> </u>  |        |                    |                                  |              |  |  |
| 1  |         |                           |   |        | ONAL FEE =         | \$0.00                           |              |  |  |
| Fee for recording the en-<br>accompanied by an appr  |         | \$40.00                   |   |        |                    |                                  |              |  |  |
|  |         |                           | TOTAL FE  | EES I  | ENCLOSED =         | \$940.00                         |              |  |  |
|  |         |                           |   |        |                    | Amount to be refunded:           | \$0.00       |  |  |
|  |         |                           | <del> </del>  |        |                    | Amount to be charged:            | \$940.00     |  |  |
| a.  A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate  |         |                           |   |        |                    |                                  |              |  |  |
| copy of this sheet is enclosed.  c.  |         |                           |   |        |                    |                                  |              |  |  |
| Deposit Account No. 18-1589. A duplicate copy of this sheet is enclosed.   |         |                           |   |        |                    |                                  |              |  |  |
| d. Sees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |         |                           |   |        |                    |                                  |              |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))   |         |                           |   |        |                    |                                  |              |  |  |
| must be filed and granted to restore the application to pending status.  |         |                           |   |        |                    |                                  |              |  |  |
| SEND ALL CORRESPO  | יירואר  | // 4-/-                   |   |        |                    |                                  |              |  |  |
| Richard S. Roberts   | JNDE    | 100 V                     |   |        |                    |                                  |              |  |  |
| Roberts & Roberts, LLF   |         | SIGNATURE                 |   |        |                    |                                  |              |  |  |
| P.O. Box 484   | 10647   | PICHAPINE PARENT          | 2   |        |                    |                                  |              |  |  |
| Princeton, New Jersey (609) 921-3500   | 16342-  | RICHARD S. ROBERT<br>NAME | 2   |        |                    |                                  |              |  |  |
| 1  |         |                           |   |        |                    | 27,941                           |              |  |  |
|  |         | REGISTRATION NUMBER       |   |        |                    |                                  |              |  |  |